

12-71-01

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J 1060 U.S. PTO
12/05/01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**
(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	MATP-606US
First Inventor	Robert Stenzel
Title	METHOD OF REVERSE PLAY FOR PREDICTIVELY CODED COMPRESSED VIDEO
Express Mail Label No.	EL 923 264 075 US

10/01/1545 PRO
JC806 U.S. PTO
12/05/01

APPLICATION ELEMENTS		ADDRESS TO:		
See MPEP chapter 600 concerning utility patent application contents.		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231		
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)			
2. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i>	8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>			
3. <input checked="" type="checkbox"/> Specification [Total Pages 17] <i>(preferred arrangement set forth below)</i> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies			
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 3]	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))			
5. Oath or Declaration a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for a continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). c. <input type="checkbox"/> Unexecuted Declaration	10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement	<input checked="" type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>		
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)			
12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449			<input type="checkbox"/> Copies of IDS Citations	
13. <input type="checkbox"/> Preliminary Amendment				
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>				
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>				
16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.				
17. <input checked="" type="checkbox"/> Other: <u>Statement of Facts in Support of Filing on Behalf of Nonsigning Inventor; Copy of Inventor Agreement; Petition to Permit Filing; Copies of correspondence to Robert Stenzel; Checks; and Certificate of Express Mail</u>				

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)
Prior application information Examiner _____ of prior application No: _____ /
Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label (Insert Customer Number or Bar Code Label here)		<input type="checkbox"/> Correspondence address below
23122		

Name	PATENT TRADEMARK OFFICE				
Address					
City	State		Zip Code		
Country	Telephone		Fax		

Name (Print/Type)	Kenneth N. Nigon	Registration No. (Attorney/Agent)	31,549
Signature	<i>Robert Stenzel</i>		Date December 5, 2001

Burden Hour Statement: This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 908)

Complete if Known	
Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Robert Stenzel
Examiner Name	
Group / Art Unit	

Attorney Docket No. MATP-606US

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	18-0350
Deposit Account Name	Ratner & Prestia
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	

2. Payment Enclosed:

<input checked="" type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other
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FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80
SUBTOTAL (1)			(\$ 740)

2. EXTRA CLAIM FEES

Total Claims	-20**	=	Extra Claims	Fee from below	Fee Paid
Independent Claims	10	=	0	X 0	0
Multiple Dependent	5	=	2	X 84	168

Large Entity	Small Entity	Fee Description
Fee Code	Fee (\$)	Fee Code
103	18	203
102	84	202
104	280	204
109	84	209
110	18	210
SUBTOTAL (2)		(\$ 168)

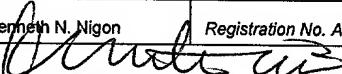
**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES	Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive – unavoidable
141	1,280	241	640	Petition to revive – unintentional
142	1,280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	150	123	150	Petitions related to provisional applications
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application
Other fee (specify) _____				

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0)

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Kenneth N. Nigon	Registration No. Attorney/Agent)	31,549
Signature		Telephone	610-407-0700
		Date	December 5, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Robert Stenzel

Docket No.

MATP-606US

Serial No.

To Be Assigned

Filing Date

Herewith

Examiner

Group Art Unit

Invention: METHOD OF REVERSE PLAY FOR PREDICTIVELY CODED COMPRESSED VIDEO

I hereby certify that the following correspondence:

Utility Trans.; Fee Trans. (2); Spec. (17 pgs.); Drawings (3 sheets); Petition to Permit Filing On Behalf of Nonsigning Inventor (2 pgs.); Copies of correspondence sent to Robert Stenzel; Supplement to Delcaration/Power of Attorney on Behalf of Nonsigning Inventor with Declaration/Power of Attorney (3 pgs.); Statement of Facts in Support of Filing on Behalf of Nonsigning Inventor (3 pgs.); Copy of Inventor Agreement (2 pgs.); Checks (2); Postcard

(Identify type of correspondence)

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

The Assistant Commissioner for Patents, Washington, D.C. 20231 on December 5, 2001

Kathleen Libby*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EL 923 264 075 US*("Express Mail" Mailing Label Number)*

Note: Each paper must have its own certificate of mailing.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert Stenzel : Art Unit:
Application No.: To Be Assigned : Examiner:
Application Submitted: Herewith :
For: METHOD OF REVERSE PLAY FOR :
PREDICTIVELY CODED COMPRESSED VIDEO :

**STATEMENT OF FACTS IN SUPPORT OF FILING ON BEHALF OF
NONSIGNING INVENTOR (37 C.F.R. § 1.47)**

Assistant Commissioner for Patents
Washington, D.C. 20231

This statement is made as to the exact facts that are relied upon to establish the diligent effort made to secure the execution of the declaration by the nonsigning inventor for the above-identified patent application before deposit thereof in the Patent and Trademark Office.

This statement is being made by the available person having first-hand knowledge of the facts recited therein.

IDENTIFICATION OF PERSON MAKING THIS STATEMENT OF FACTS

Kenneth N. Nigon
Attorney for Applicant
Ratner & Prestia

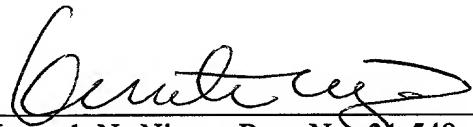
**DETAILS OF REFUSAL OF NONSIGNING INVENTOR
TO SIGN APPLICATION PAPERS**

The following efforts were made to secure the execution of the nonsigning inventor:

- 1) On March 26, 2001, the first draft of the patent application was sent via Federal Express to Robert Stenzel at Panasonic AVC American Laboratories, Inc., 311 Main Street, Westampton, New Jersey 08060 from Ratner & Prestia at One Westlakes Drive (Berwyn), Suite 301, P.O. Box 980, Valley Forge, PA 19482-0980. The Federal Express Tracking number provided confirmation of receipt of documents.
- 2) On March 29, 2001, a second copy of the first draft of the patent application was sent via Federal Express to Robert Stenzel at 1892 West Point Drive, Cherry Hill, New Jersey 08003 from Ratner & Prestia at One Westlakes Drive (Berwyn), Suite 301, P.O. Box 980, Valley Forge, PA 19482-0980. The Federal Express Tracking number provided confirmation of receipt of documents.
- 3) On August 8, 2001, a proposed patent application along with unexecuted documents for signature were sent via Federal Express to Robert Stenzel at 1892 West Point Drive, Cherry Hill, New Jersey 08003 from Ratner & Prestia at One Westlakes Drive (Berwyn), Suite 301, P.O. Box 980, Valley Forge, PA 19482-0980. The Federal Express Tracking number provided confirmation of receipt of documents.
- 4) On August 20, 2001, a letter was sent via certified mail to Robert Stenzel at 1892 West Point Drive, Cherry Hill, New Jersey 08003. The letter requested Mr. Stenzel to execute and return the documents that were sent to him on August 8, 2001. The letter was signed for by Diane Stenzel on August 22, 2001.
- 5) On August 27, 2001, a letter was sent via certified mail to Robert Stenzel at 1892 West Point Drive, Cherry Hill, New Jersey 08003. The letter requested Mr. Stenzel to execute and return the documents that were sent to him on August 8, 2001. The letter was signed for by Diane Stenzel on August 30, 2001.
- 6) On August 31, 2001, a copy of the application with inventor comments was received from Robert Stenzel.
- 7) On September 10, 2001, a revised proposed patent application along with unexecuted documents for signature were sent via Federal Express from Ratner & Prestia at One Westlakes Drive (Berwyn), Suite 301, P.O. Box 980, Valley Forge, PA 19482-0980.

- 8) On September 24, 2001, a letter was sent via certified mail to Robert Stenzel at 1892 West Point Drive, Cherry Hill, New Jersey 08003. The letter requested Mr. Stenzel to execute and return the documents that were sent to him on September 10, 2001. The letter was signed for by Diane Stenzel on September 25, 2001.
- 9) On October 5, 2001, a letter was sent via certified mail to Robert Stenzel at 1892 West Point Drive, Cherry Hill, New Jersey 08003. The letter requested Mr. Stenzel to execute and return the documents that were sent to him on September 10, 2001. The letter was signed for by Diane Stenzel on October 8, 2001.
- 10) On October 16, 2001, a letter was sent via certified mail to Robert Stenzel at 1892 West Point Drive, Cherry Hill, New Jersey 08003. The letter requested Mr. Stenzel to execute and return the documents that were sent to him on September 10, 2001.
- 11) On November 6, 2001, Ratner & Prestia received the unclaimed letter sent to Mr. Stenzel on October 16, 2001.

Date: 12/15/01



Kenneth N. Nigon, Reg. No. 31,549